

# Chapter 5 –Synchronization

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## 5.2 –Logical Clocks

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## 5.3 – Global State

# Chapter 5 –Synchronization

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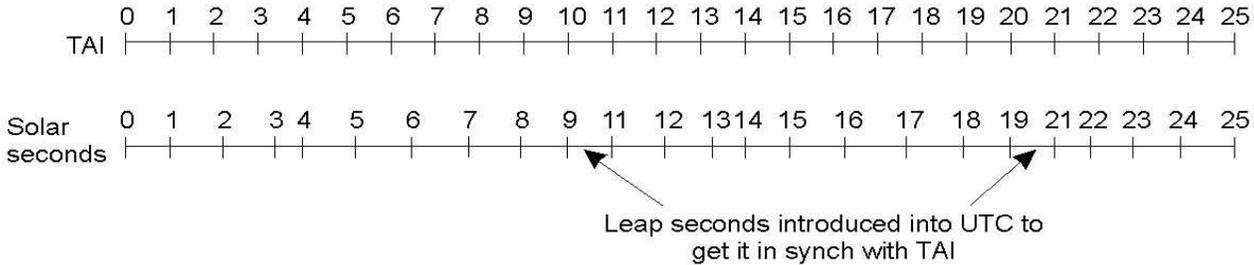
A Token Ring Algorithm

## 5.6 – Distributed Transaction

The Transaction Model



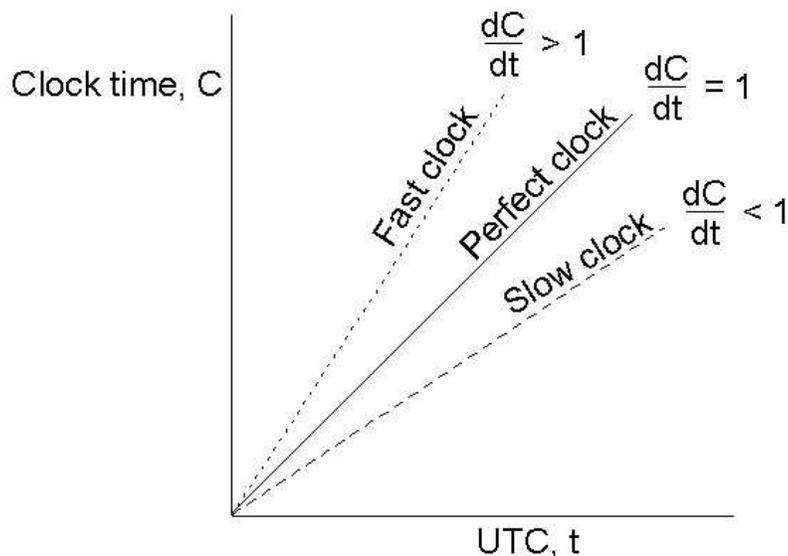
# Physical Clocks (2)



TAI seconds are of constant length, unlike solar seconds. Leap seconds are introduced when necessary to keep in phase with the sun.

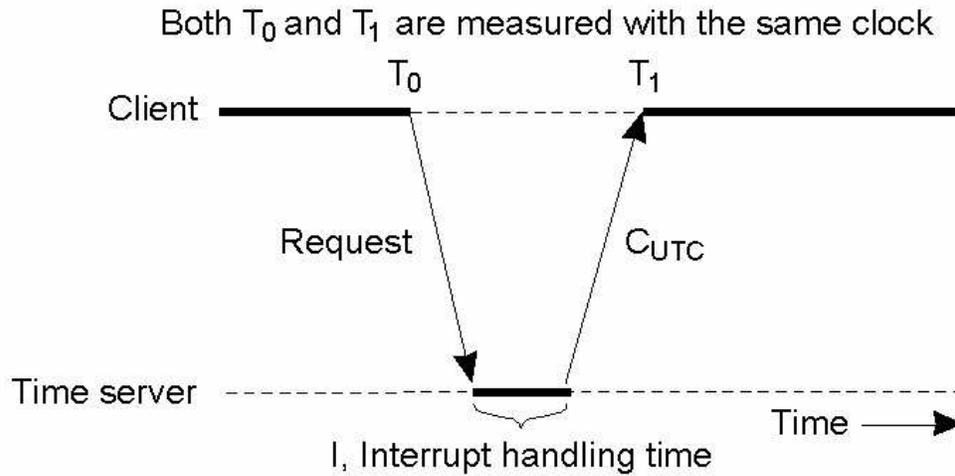
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## Clock Synchronization Algorithms



The relation between clock time and UTC when clocks tick at different rates.

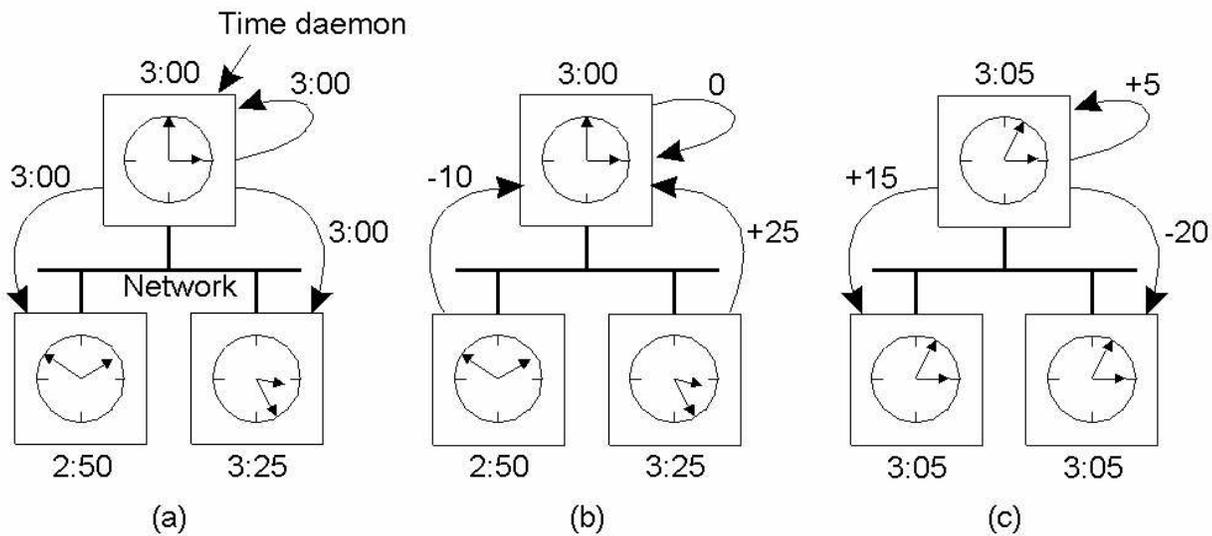
# Cristian's Algorithm



Getting the current time from a time server.

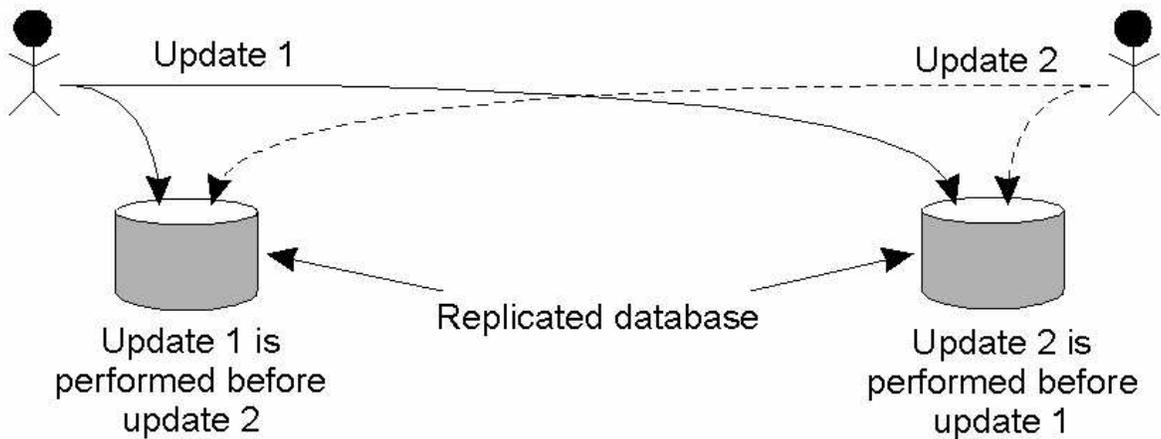
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# The Berkeley Algorithm



- The time daemon asks all the other machines for their clock values
- The machines answer
- The time daemon tells everyone how to adjust their clock

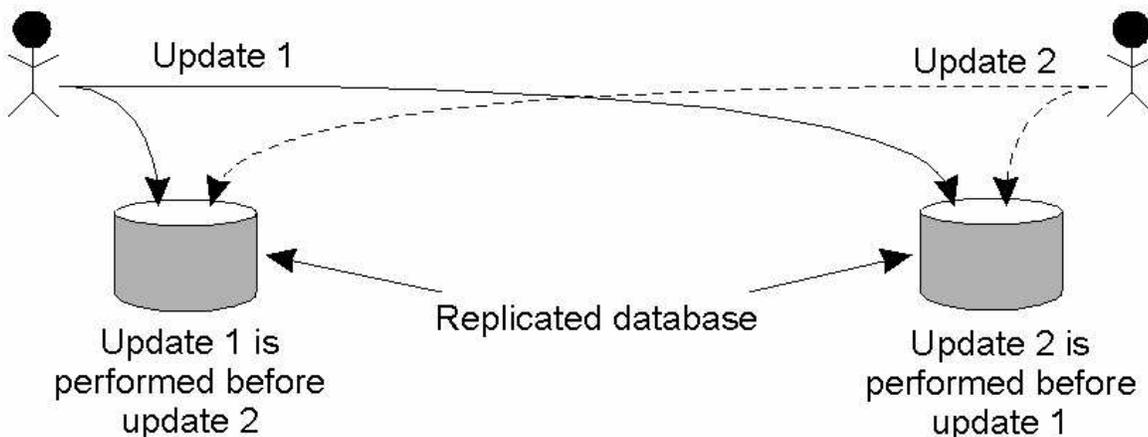
# Lamport Timestamps



- a) Three processes, each with its own clock. The clocks run at different rates.
- b) Lamport's algorithm corrects the clocks.

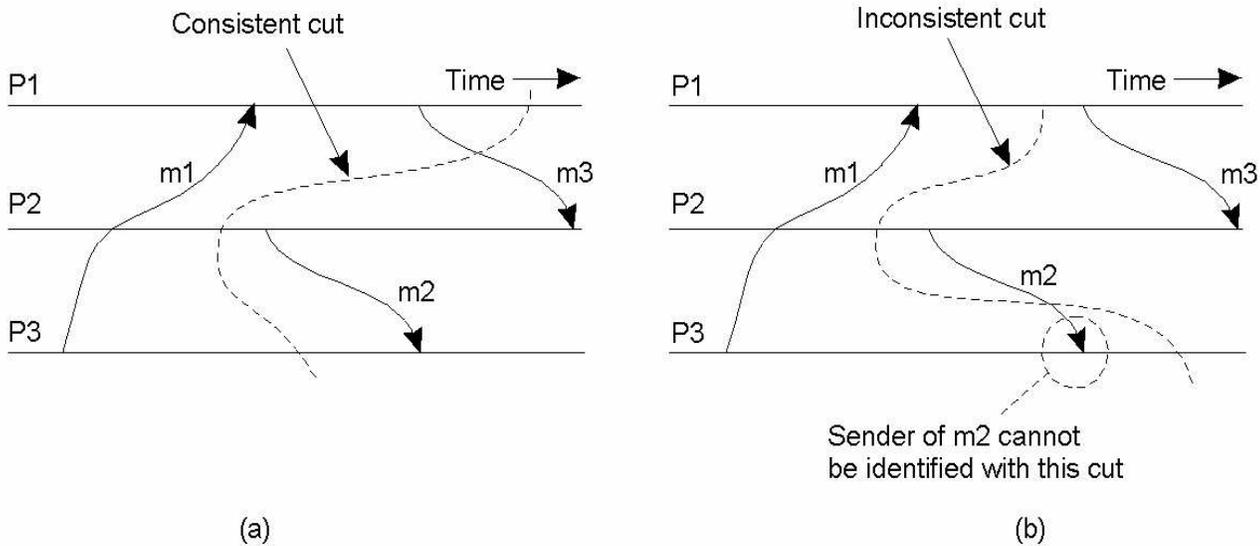
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## Example: Totally-Ordered Multicasting



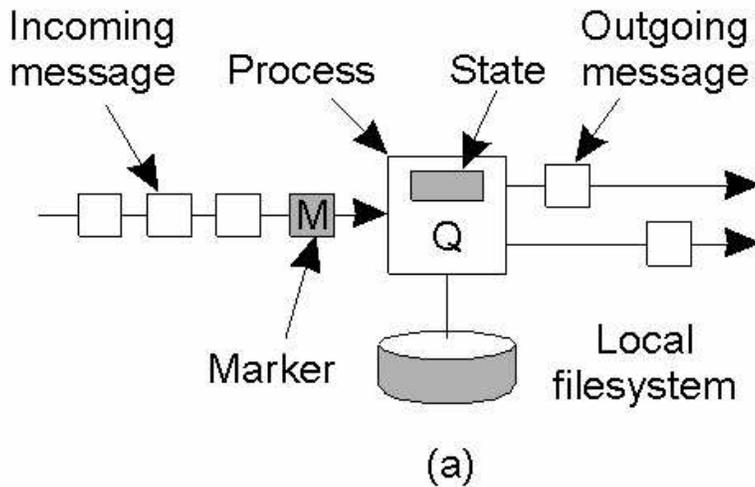
Updating a replicated database and leaving it in an inconsistent state.

# Global State (1)



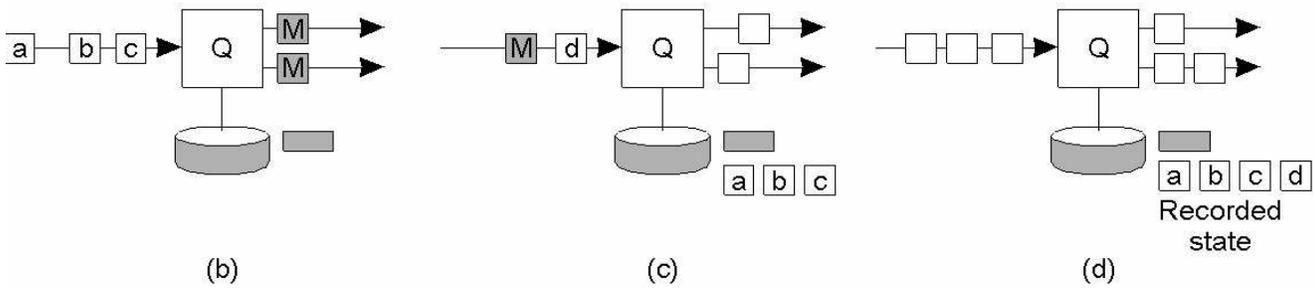
- a) A consistent cut
- b) An inconsistent cut

# Global State (2)



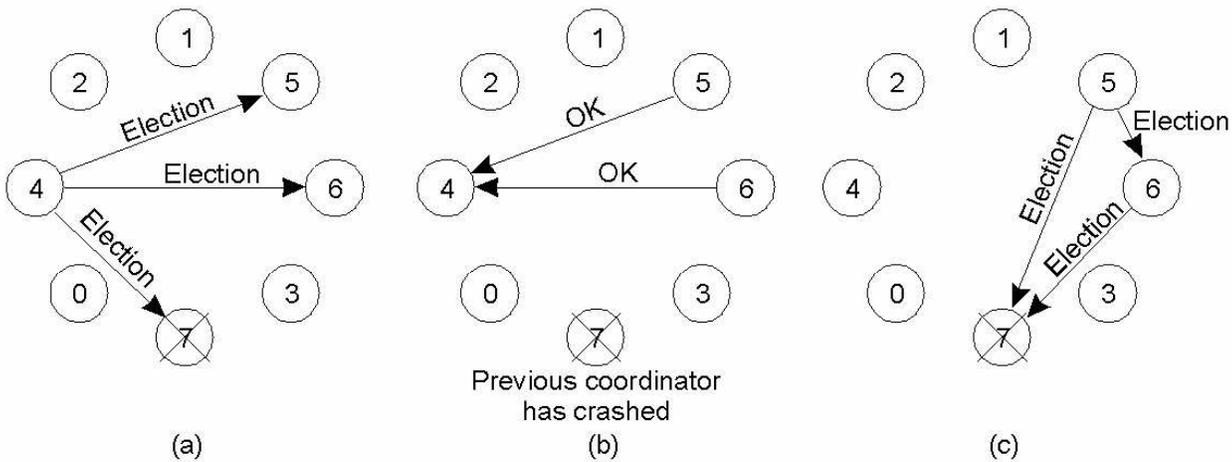
- a) Organization of a process and channels for a distributed snapshot

# Global State (3)



- Process Q receives a marker for the first time and records its local state
- Q records all incoming message
- Q receives a marker for its incoming channel and finishes recording the state of the incoming channel

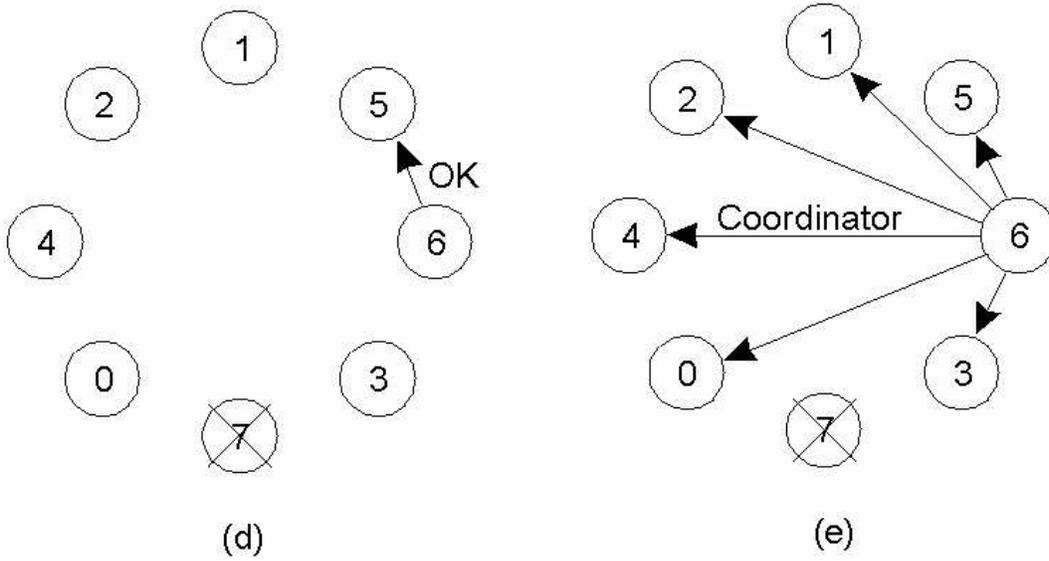
# The Bully Algorithm (1)



The bully election algorithm

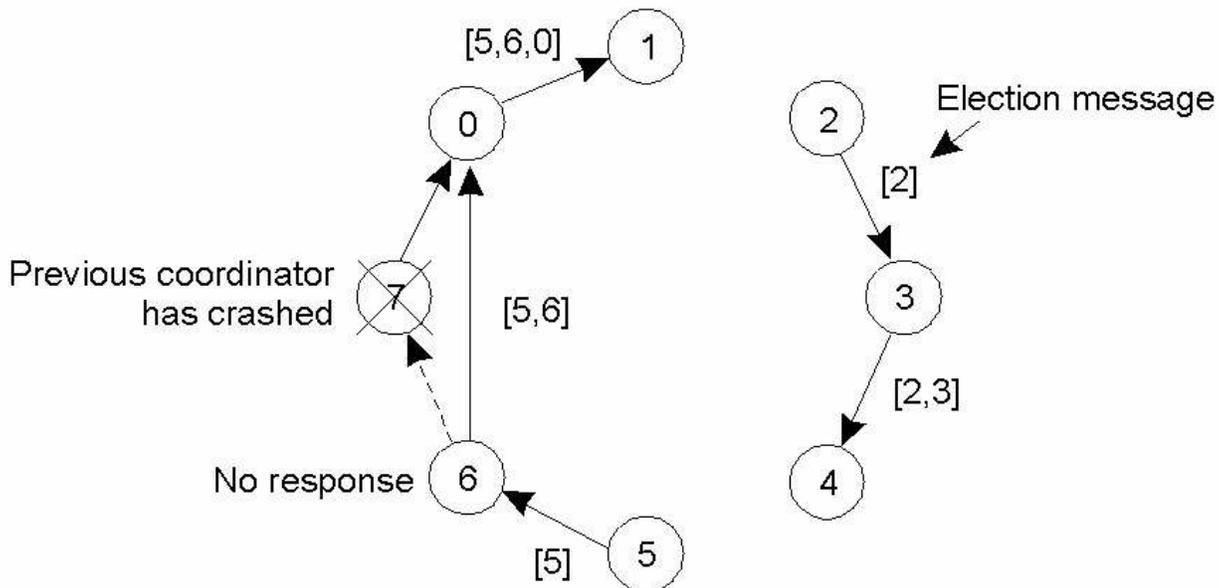
- Process 4 holds an election
- Process 5 and 6 respond, telling 4 to stop
- Now 5 and 6 each hold an election

# Global State (3)



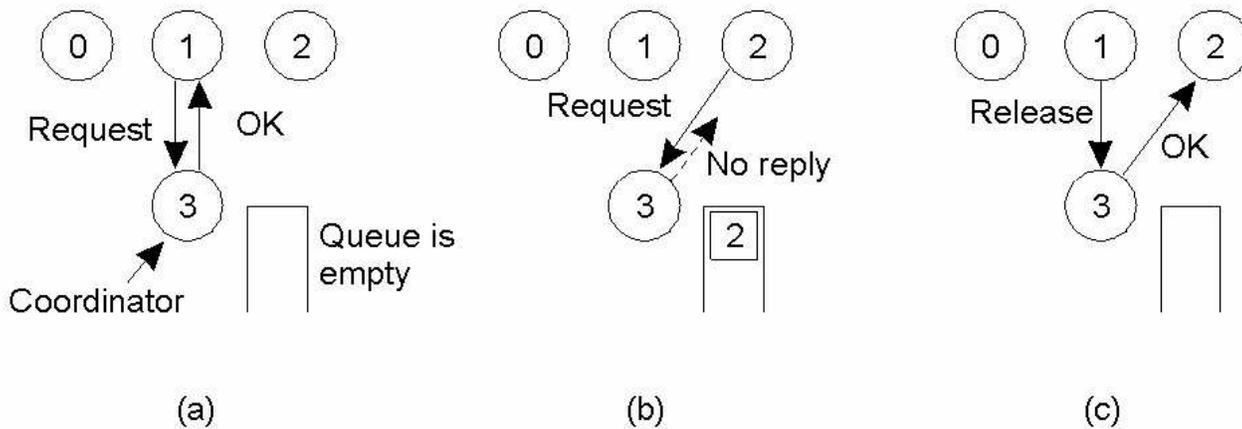
- a) Process 6 tells 5 to stop
- b) Process 6 wins and tells everyone

# A Ring Algorithm



Election algorithm using a ring.

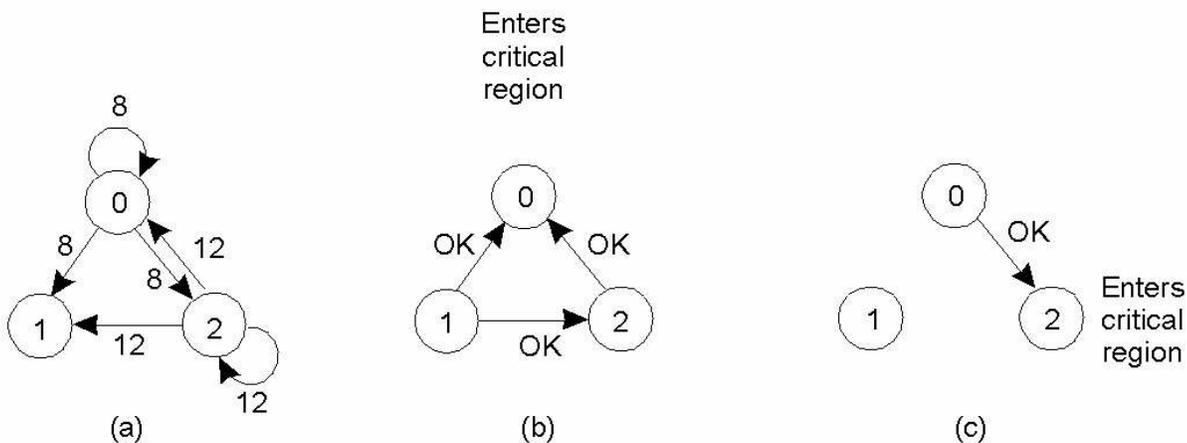
# Mutual Exclusion: A Centralized Algorithm



- Process 1 asks the coordinator for permission to enter a critical region. Permission is granted
- Process 2 then asks permission to enter the same critical region. The coordinator does not reply.
- When process 1 exits the critical region, it tells the coordinator, when then replies to 2

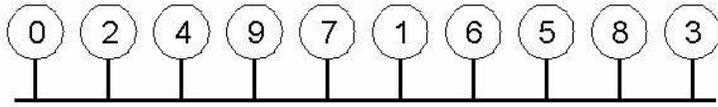
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# A Distributed Algorithm

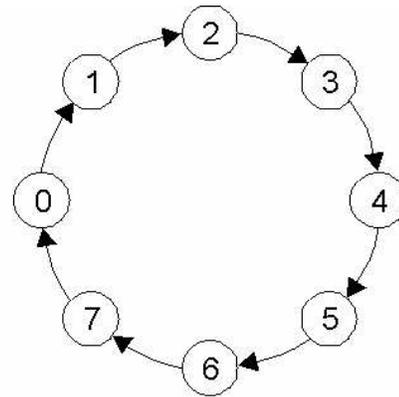


- Two processes want to enter the same critical region at the same moment.
- Process 0 has the lowest timestamp, so it wins.
- When process 0 is done, it sends an OK also, so 2 can now enter the critical region.

# A Token Ring Algorithm



(a)



(b)

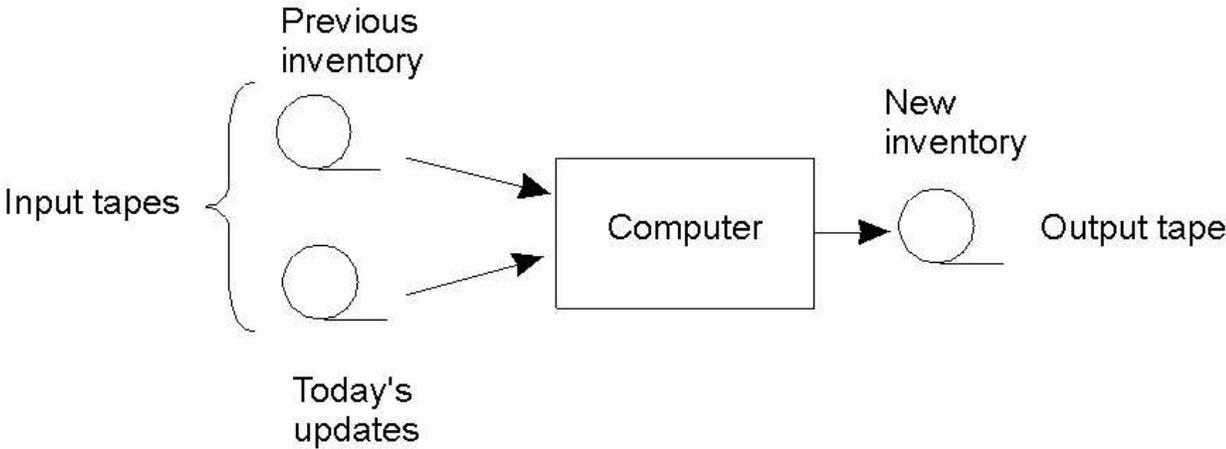
- a) An unordered group of processes on a network.
- b) A logical ring constructed in software.

## Comparison

Algorithm	Messages per entry/exit	Delay before entry (in message times)	Problems
Centralized	3	2	Coordinator crash
Distributed	$2(n - 1)$	$2(n - 1)$	Crash of any process
Token ring	1 to $\infty$	0 to $n - 1$	Lost token, process crash

A comparison of three mutual exclusion algorithms.

# The Transaction Model (1)



Updating a master tape is fault tolerant.

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# The Transaction Model (2)

Primitive	Description
BEGIN_TRANSACTION	Make the start of a transaction
END_TRANSACTION	Terminate the transaction and try to commit
ABORT_TRANSACTION	Kill the transaction and restore the old values
READ	Read data from a file, a table, or otherwise
WRITE	Write data to a file, a table, or otherwise

Examples of primitives for transactions.

# The Transaction Model (3)

```
BEGIN_TRANSACTION
reserve WP -> JFK;
reserve JFK -> Nairobi;
reserve Nairobi -> Malindi;
END_TRANSACTION
```

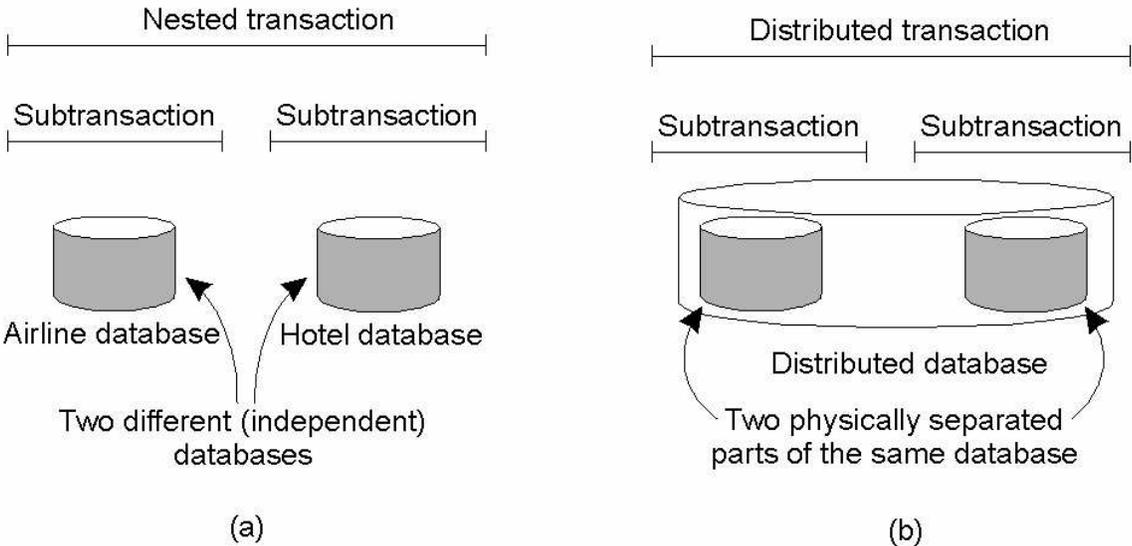
(a)

```
BEGIN_TRANSACTION
reserve WP -> JFK;
reserve JFK -> Nairobi;
reserve Nairobi -> Malindi full =>
ABORT_TRANSACTION
```

(b)

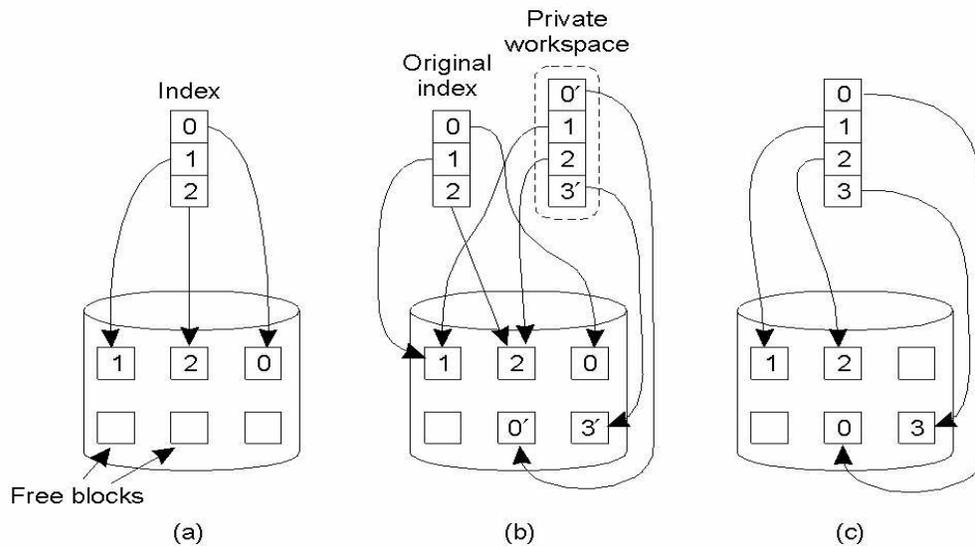
- a) Transaction to reserve three flights commits
- b) Transaction aborts when third flight is unavailable

# Distributed Transactions



- a) A nested transaction
- b) A distributed transaction

# Private Workspace



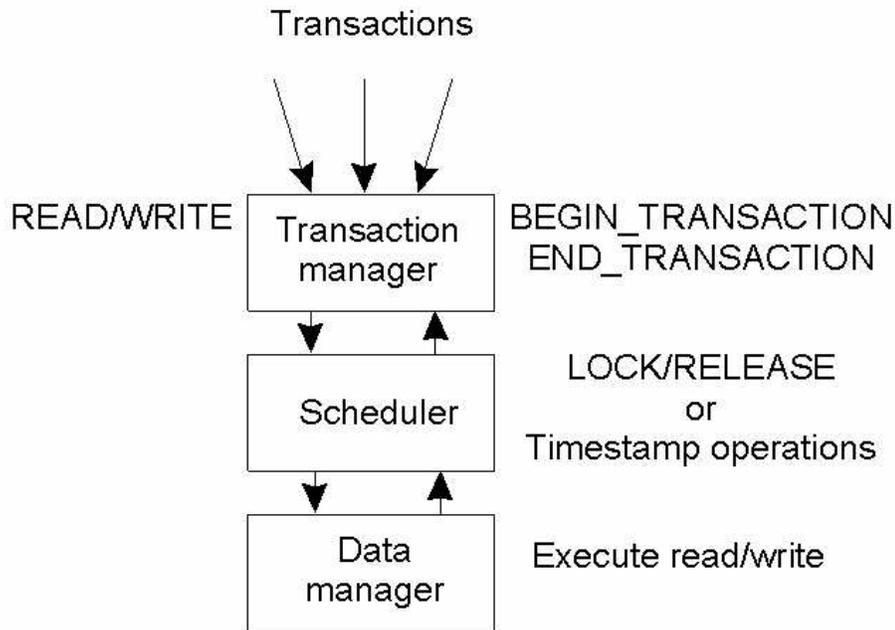
- a) The file index and disk blocks for a three-block file
- b) The situation after a transaction has modified block 0 and appended block 3
- c) After committing

# Writeahead Log

<pre> x = 0; y = 0; BEGIN_TRANSACTION;   x = x + 1;   y = y + 2   x = y * y; END_TRANSACTION;                 </pre>	<p>Log</p> <p>[x = 0 / 1]</p>	<p>Log</p> <p>[x = 0 / 1] [y = 0/2]</p>	<p>Log</p> <p>[x = 0 / 1] [y = 0/2] [x = 1/4]</p>
(a)	(b)	(c)	(d)

- a) A transaction
- b) – d) The log before each statement is executed

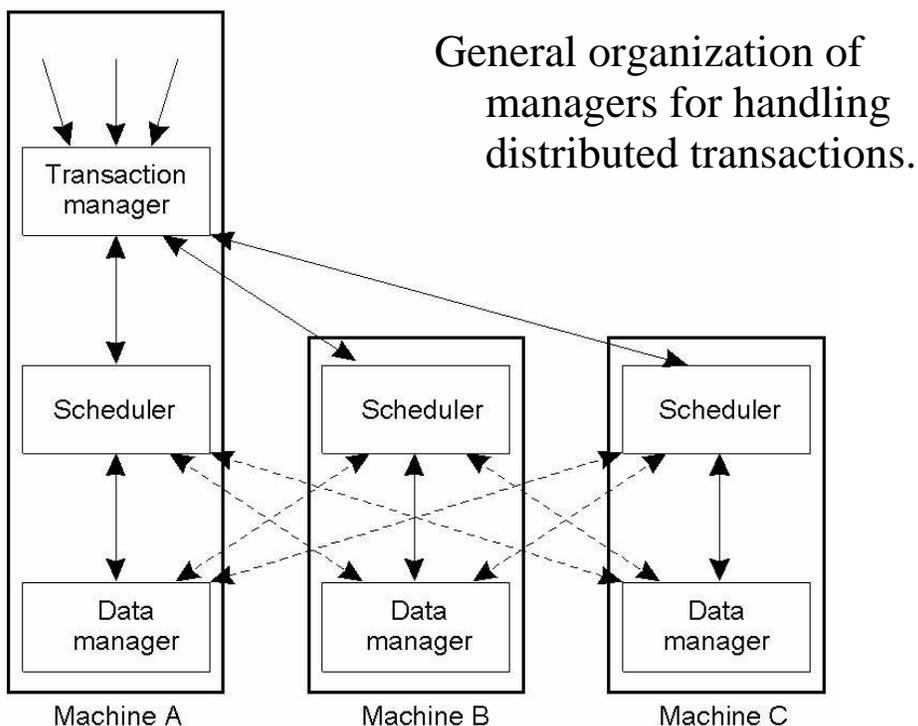
# Concurrency Control (1)



General organization of managers for handling transactions.

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# Concurrency Control (2)



# Serializability

BEGIN\_TRANSACTION  
 $x = 0;$   
 $x = x + 1;$   
 END\_TRANSACTION

(a)

BEGIN\_TRANSACTION  
 $x = 0;$   
 $x = x + 2;$   
 END\_TRANSACTION

(b)

BEGIN\_TRANSACTION  
 $x = 0;$   
 $x = x + 3;$   
 END\_TRANSACTION

(c)

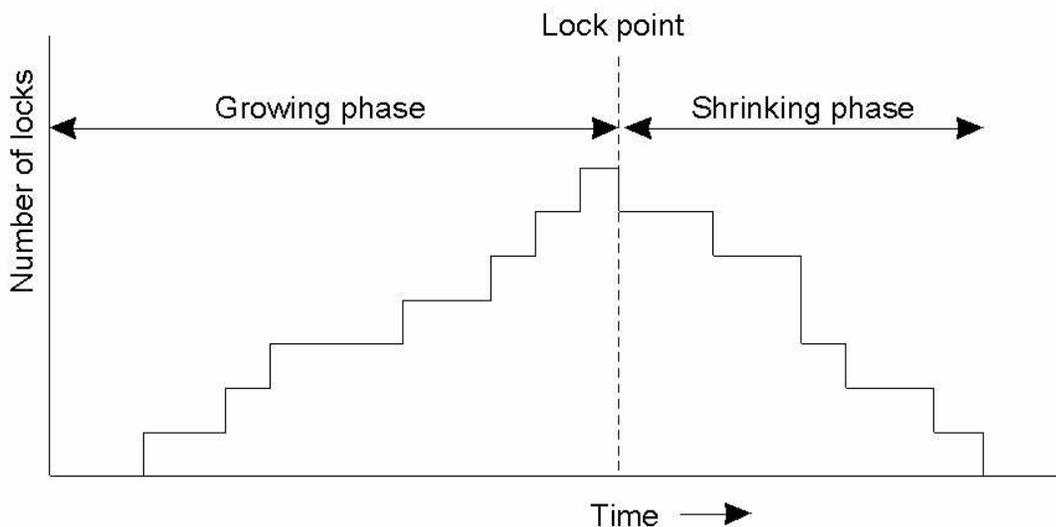
Schedule 1	$x = 0;$ $x = x + 1;$ $x = 0;$ $x = x + 2;$ $x = 0;$ $x = x + 3$	Legal
Schedule 2	$x = 0;$ $x = 0;$ $x = x + 1;$ $x = x + 2;$ $x = 0;$ $x = x + 3;$	Legal
Schedule 3	$x = 0;$ $x = 0;$ $x = x + 1;$ $x = 0;$ $x = x + 2;$ $x = x + 3;$	Illegal

(d)

- a) – c) Three transactions  $T_1$ ,  $T_2$ , and  $T_3$   
 d) Possible schedules

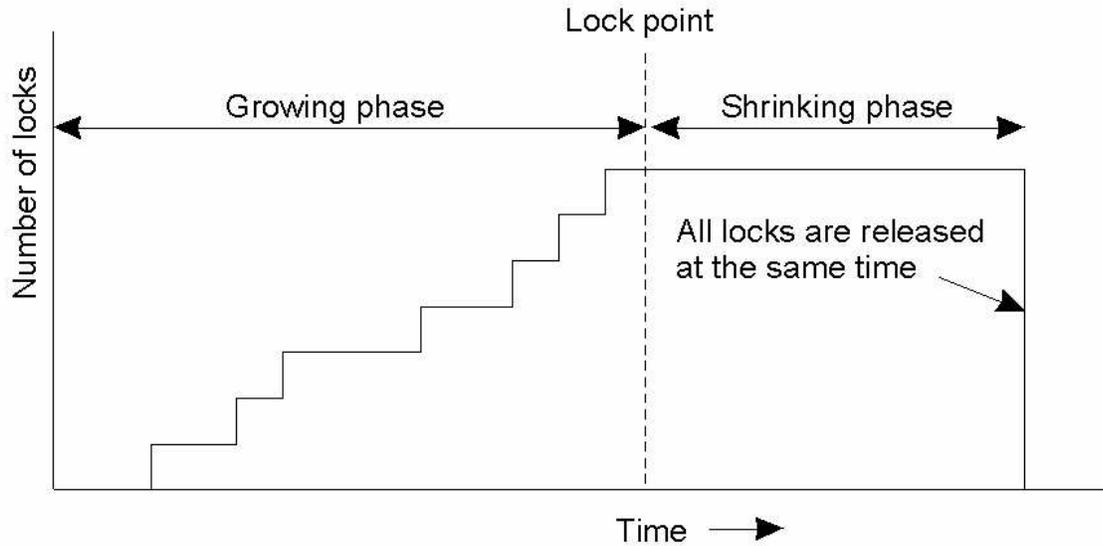
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## Two-Phase Locking (1)



Two-phase locking.

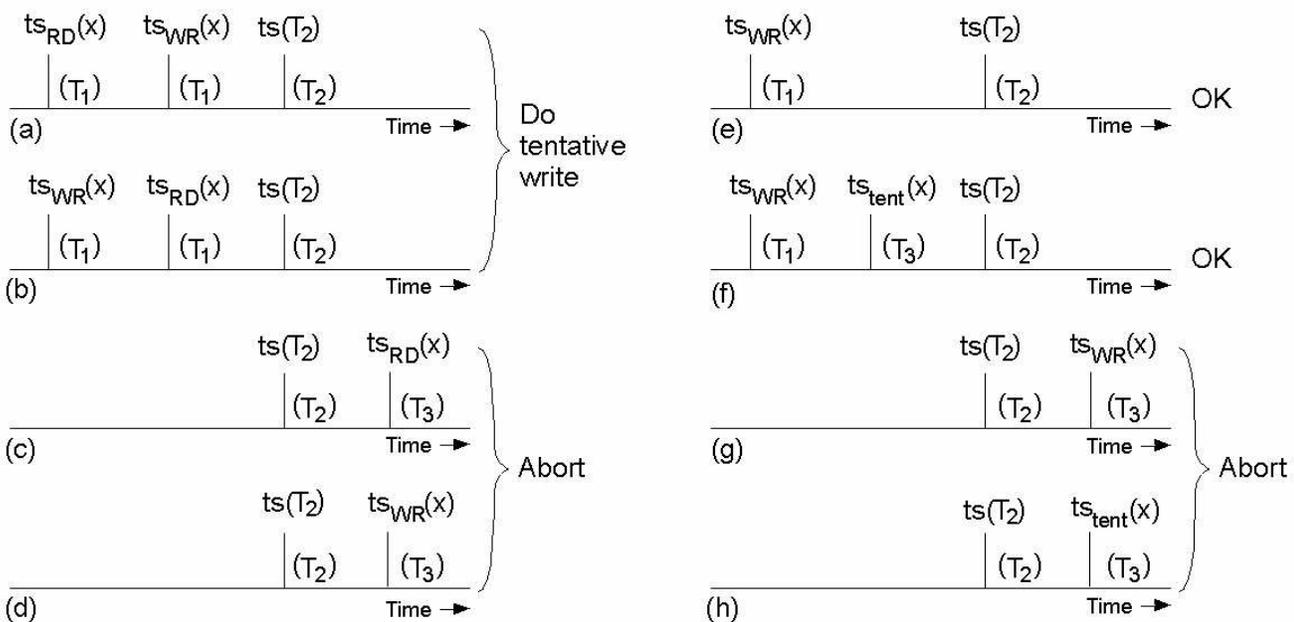
# Two-Phase Locking (2)



Strict two-phase locking.

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# Pessimistic Timestamp Ordering



Concurrency control using timestamps.